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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/824,979	04/14/2004	Donal P. Inman	40518.0001

CONFIRMATION NO. 7515

FORMALITIES LETTER

OC000000013085842

26712
HODGSON RUSS LLP
ONE M & T PLAZA
SUITE 2000
BUFFALO, NY 14203-2391

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch).See Figures(s) ALL.
 - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) ALL.

SUMMARY OF FEES DUE:

09/02/2004 DEMMANU1 00000018 10824979

01 FC:2051 65.00 OP

Total additional fee(s) required for this application is **$65** for a Small Entity

- **$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT & TRADEMARK OFFICE

I hereby Certify that this Correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 20231 on August 30, 2004

Martin G. Linihan
Name

Signature
August 30, 2004
Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Donal P. Inman

Serial No.: 10/824,979

Filed: April 14, 2004

For: Self-Locking Power Component For Orthodontic Appliances

RESPONSE

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application mailed June 28, 2004 (copy enclosed), herewith are the executed declaration, replacement drawings comprising eight sheets of formal patent drawing containing Figs. 1-20 and payment of the surcharge fee.

Respectfully submitted,

Hodgson Russ LLP

By _____
Martin G. Linihan, Reg. No. 24,926

One M&T Plaza, Suite 2000
Buffalo, New York 14203-2391
Tel:(716) 856-4000
DATE: August 30, 2004

PTO/SB/17 (10-03) Approved for use through 07/31/2006, OMB 0651-0032

FEE TRANSMITTAL for FY 2004

SEP 01 2004

Effective 10/01/2003. Patent Fees are subject to annual revision.

■ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT	($)65.00

Application Number	10/824,979
Filing Date	April 14, 2004
First Named Inventor	Donal P. Inman
Examiner Name	
Group/Art Unit	
Attorney Docket Number	40518.0003

METHOD OF PAYMENT *(check all that apply)*

■ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account: Deposit Account Number: 08-2442
Deposit Account Name: Hodgson Russ LLP

The Director is hereby authorized to *(check all that apply)*

☐ Charge fee(s) indicated below
■ Charge any fee deficiencies or credit any overpayments
☐ Charge any additional fees during pendency of this application.
☐ Charge fees indicated below, **except for the filing fee** to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	$
1002	340	2002	170	Design filing fee	$
1003	530	2003	265	Plant filing fee	$
1004	770	2004	385	Reissue filing fee	$
1005	160	2005	80	Provisional filing fee	$
				SUBTOTAL (1)	$0

2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE

	Extra Claims	Fee from below	Fee Paid
Total Claims	/ / -20** =	/ / x / /=	$
Independent Claims	/ /- 3** =	/ / x / /=	$
Multiple dependent		/ / x / /=	$

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim if not paid
1204	86	2204	43	**Reissue independent claims over original patent
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) $0

SUBMITTED BY:

Martin G. Linihan Reg. No. 24,926

SIGNATURE /Martin G. Linihan/

DATE: August 30, 2004 Telephone: (716) 848-1367

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	$65
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	$
1053	130	1053	130	Non-English specification	$
1812	2,520	1812	2,520	For filing a request for *ex parte* reexamination	$
1804	920*	1804	920*	Requesting Publication of SIR prior to Examiner Action	$
1805	1,840*	1805	1,840*	Requesting Publication of SIR after Examiner Action	$
1251	110	2251	55	Extension for reply within first month	$
1252	420	2252	210	Extension for reply within second month	$
1253	950	2253	475	Extension for reply within third month	$
1254	1,480	2254	740	Extension for reply within fourth month	$
1255	2,010	2255	1,005	Extension for reply within fifth month	$
1401	330	2401	165	Notice of Appeal	$
1402	330	2402	165	Filing a brief in support of an appeal	$
1403	290	2403	145	Request for oral hearing	$
1451	1,510	1451	1,510	Petition to institute a public use proceeding	$
1452	110	2452	55	Petition to revive - unavoidable	$
1453	1,330	2453	665	Petition to revive - unintentional	$
1501	1,330	2501	665	10 advance copies Utility issue fee (or reissue)	$ $
1502	480	2502	240	Design issue fee	$
1503	640	2503	320	Plant issue fee	$
1460	130	1460	130	Petitions to the Commissioner	$
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	$
1806	180	1806	180	Submission of Information Disclosure Statement	$
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	$
1809	770	2809	385	Filing a submission after final rejection(37 CFR 1.129(a))	$
1810	770	2810	385	For each add'l invention to be examined(37 CFR1.129(b))	$
1801	770	2801	385	Request For Continued Examination (RCE)	$
1802	900	1802	900	Request for Expedited Examination of a design application	$
				Other fee (specify)	$
				*Reduced by basic filing fee paid SUBTOTAL (3)	$65

I hereby Certify that this Correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on August 30, 2004

Martin G. Linihan	/Martin G. Linihan/	August 30, 2004
Name	Signature	Date of Signature